

# On the Weihrauch degree of additive Ramsey theorem over the rationals<sup>\*</sup>

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**Abstract.** We characterize the strength, in terms of Weihrauch degrees, of certain problems related to Ramsey-like theorems concerning colourings of the rationals. The theorems we are chiefly interested in assert the existence of almost-homogeneous sets for colourings of pairs of rationals satisfying properties determined by some additional algebraic structure on the set of colours.

In the context of reverse mathematics, most of the principle we study are equivalent to  $\Sigma_2^0$ -induction over  $\text{RCA}_0$ . The associated problems in the Weihrauch lattice are related to  $\text{TC}_{\mathbb{N}}^*$ ,  $(\text{LPO}')^*$  or their product, depending on their precise formalizations.

**Keywords:** Weihrauch reducibility, Reverse mathematics, additive Ramsey,  $\Sigma_2^0$ -induction.

## 1 Introduction

The infinite Ramsey theorem is a central object of study in the field of computability theory. It says that for any colouring  $c$  of  $n$ -uples of a given arity of an infinite set  $X$ , there exists a infinite subset  $H \subseteq X$  such that the set of  $n$ -tuples  $[H]^n$  of elements of  $H$  is monochromatic. This statement is non-constructive: even if the colouring  $c$  is given by a computable function, it is not the case that we can find a computable homogeneous subset of  $X$ . Various attempts have been made to quantify how non-computable this problem and some of its natural restrictions are. This is in turn linked to the axiomatic strength of the corresponding theorems, as investigated in *reverse mathematics* [11] where Ramsey's theorem is a privileged object of study [5]

This paper is devoted to a variant of Ramsey's theorem with the following restrictions: we colour pairs of rational numbers and we required some additional structure on the colouring, namely that it is *additive*. A similar statement first appeared in [10, Theorem 1.3] to give a self-contained proof of decidability of the Monadic Second-order logic of  $(\mathbb{Q}, <)$ . We will also analyse a simpler statement we call the *shuffle principle*, a related tool appearing in more modern decidability proofs [2, Lemma 16]. The shuffle principle states that every  $\mathbb{Q}$ -indexed word (with letters in a finite alphabet) contains a convex subword in which every letter appears densely or not at all. Much like the additive restriction of the Ramsey

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theorem for pairs over  $\mathbb{N}$ , studied from the point of view of reverse mathematics in [7], we obtain a neat correspondence with  $\Sigma_2^0$ -induction ( $\Sigma_2^0$ -IND).

**Theorem 1.** *In the weak second-order arithmetic  $\text{RCA}_0$ ,  $\Sigma_2^0$ -IND is equivalent to both the shuffle principle and the additive Ramsey theorem for  $\mathbb{Q}$ .*

We take this analysis one step further in the framework of Weihrauch reducibility that allows to measure the uniform strength of general multi-valued functions (also called *problems*) over Baire space. Let  $\text{Shuffle}$  and  $\text{ART}_{\mathbb{Q}}$  be the most obvious problems corresponding to the shuffle principle and additive Ramsey theorem over  $\mathbb{Q}$  respectively. We relate them, as well as various weakenings  $\text{cShuffle}$ ,  $\text{cART}_{\mathbb{Q}}$ ,  $\text{iShuffle}$  and  $\text{iART}_{\mathbb{Q}}$  that only output sets of colours or intervals, to the standard (incomparable) problems  $\text{TC}_{\mathbb{N}}$  and  $\text{LPO}'$ .

**Theorem 2.** *We have the following equivalences*

- $\text{Shuffle} \equiv_{\text{W}} \text{ART}_{\mathbb{Q}} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^*$
- $\text{cShuffle} \equiv_{\text{W}} \text{cART}_{\mathbb{Q}} \equiv_{\text{W}} (\text{LPO}')^*$
- $\text{iShuffle} \equiv_{\text{W}} \text{iART}_{\mathbb{Q}} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^*$

## 2 Background

In this section, we will introduce the necessary background for the rest of the paper, and fix most of the notation that we will use, except for formal definitions related to weak subsystems of second-order arithmetic, in particular  $\text{RCA}_0$  (which consists of  $\Sigma_1^0$ -induction and recursive comprehension) and  $\text{RCA}_0 + \Sigma_2^0$ -IND. A standard reference for that material and, more generally, systems of interest in reverse mathematics, is [11].

### 2.1 Generic notations

We identify  $k \in \mathbb{N}$  with the finite set  $\{0, \dots, k-1\}$ . For every linear order  $(X, <_X)$ , we write  $[X]^2$  for the set of pairs  $(x, y)$  with  $x <_X y$ . In this paper, by an *interval*  $I$  we always mean a pair  $(u, v) \in [\mathbb{Q}]^2$ , regarded as the set  $]u, v[$  of rationals; we never use interval with irrational extrema.

### 2.2 Additive and ordered colourings

For the following definition, fix a linear order  $(X, <_X)$ . For every poset  $(P, \prec_P)$ , we call a colouring  $c : [X]^2 \rightarrow P$  *ordered* if we have  $c(x, y) \preceq_P c(x', y')$  when  $x' \leq_X x <_X y \leq_X y'$ . A colouring  $c : [X]^2 \rightarrow S$  is called *additive* with respect to a semigroup structure  $(S, \cdot)$  if we have  $c(x, z) = c(x, y) \cdot c(y, z)$  whenever  $x <_X y <_X z$ . A subset  $A \subseteq X$  is *dense in X* if for every  $x, y \in A$  with  $x <_X y$  there is  $c \in A$  such that  $x <_X c <_X y$ . Given a colouring  $c : [X]^n \rightarrow k$  and some interval  $Y \subseteq X$ , we say that  $Y$  is *c-densely homogeneous*, or equivalently a *c-shuffle*, if there exists a finite partition of  $Y$  into dense subsets  $D_i$  such that each

$[D_i]^n$  is  $c$ -homogeneous. We will call those  $c$ -shuffles if  $c$  happens to additionally be a colouring of  $\mathbb{Q}$  (i.e. if  $n = 1$ ). Finally, given a colouring  $c : \mathbb{Q} \rightarrow k$ , and given an interval  $I \subseteq \mathbb{Q}$ , we say that a colour  $i < k$  occurs densely in  $I$  if the set of  $x \in \mathbb{Q}$  such that  $c(x) = i$  is dense in  $I$ .

**Definition 1.** *The following are statements of second-order arithmetic:*

- $\text{ORT}_{\mathbb{Q}}$ : for every finite poset  $(P, \prec_P)$  and ordered colouring  $c : [\mathbb{Q}]^2 \rightarrow P$ , there exists a  $c$ -homogeneous interval  $]u, v[ \subset \mathbb{Q}$ .
- **Shuffle**: for every  $k \in \mathbb{N}$  and colouring  $f : \mathbb{Q} \rightarrow k$ , there exists an interval  $I = ]x, y[$  such that  $I$  is  $f$ -densely homogeneous.
- $\text{ART}_{\mathbb{Q}}$ : for every finite monoid  $(S, \cdot)$  and colouring  $c : [\mathbb{Q}]^2 \rightarrow S$ , there exists an interval  $I = ]x, y[$  such that  $I$  is  $c$ -densely homogeneous.

As mentioned before, a result similar to  $\text{ART}_{\mathbb{Q}}$  was originally proved by Shelah in [10, Theorem 1.3 & Conclusion 1.4] and **Shuffle** is a central lemma when analysing labellings of  $\mathbb{Q}$  (see e.g. [2]).

We introduce some more terminology that will come in handy later on. Given a colouring  $c : [\mathbb{Q}]^n \rightarrow k$ , a set  $C \subseteq k$  and an interval  $I = ]u, v[$  that is a  $c$ -shuffle, we say that  $I$  is a  $f$ -shuffle for the colours in  $C$ , or equivalently that  $I$  is  $c$ -homogeneous for the colours of  $C$ , if we additionally have  $c(I) = C$ . We will establish that  $\text{ART}_{\mathbb{Q}}$  and **Shuffle** are equivalent to  $\Sigma_2^0$ -induction over  $\text{RCA}_0$  while  $\text{ORT}_{\mathbb{Q}}$  is already provable in  $\text{RCA}_0$ .

### 2.3 Preliminaries on Weihrauch reducibility

We now give a brief introduction to the Weihrauch degrees of problems and the operations on them that we will use in the rest of the paper. We stress that here we are able to offer but a glimpse of this vast area of research, and we refer to [1] for more details on the topic.

We deal with partial multifunctions  $f : \subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}^{\mathbb{N}}$ , which we call *problems*, for short. We will most often define problems in terms of their *inputs* and of the *outputs* corresponding to those inputs. We stress that, differently from [1], we do not define problems for arbitrary represented spaces (domains and codomains of the problems we consider admit a straightforward coding as subspaces of  $\mathbb{N}^{\mathbb{N}}$ ).

A partial function  $F : \subseteq \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}^{\mathbb{N}}$  is called a *realizer for  $f$* , which we denote by  $F \vdash f$ , if, for every  $x \in \text{dom} f$ ,  $F(x) \in f(x)$ . Given two problems  $f$  and  $g$ , we say that  $g$  is *Weihrauch reducible* to  $f$ , and we write  $g \leq_W f$ , if there are two computable functionals  $H$  and  $K$  such that  $K \langle FH, \text{id} \rangle$  is a realizer for  $g$  whenever  $F$  is a realizer for  $f$ . We define strong Weihrauch reducibility similarly: for every two problems  $f$  and  $g$ , we say that  $g$  *strongly Weihrauch reduces* to  $f$ , written  $g \leq_{sW} f$ , if there are computable functionals  $H$  and  $K$  such that  $KFH \vdash g$  whenever  $F \vdash f$ . We say that two problems  $f$  and  $g$  are (strongly) Weihrauch equivalent if both  $f \leq_W g$  and  $g \leq_W f$  (respectively  $f \leq_{sW} g$  and  $g \leq_{sW} f$ ). We write this  $\equiv_W$  (respectively  $\equiv_{sW}$ ).

There are a number of useful structural operations on problems, which respect the quotient to Weihrauch degrees, that we need to introduce. The first one is

the *parallel product*  $f \times g$ , which has the power to solve an instance of  $f$  and an instance of  $g$  at the same time. The *finite parallelization* of a problem  $f$ , denoted  $f^*$ , has the power to solve an arbitrary number of instances of  $f$ , provided that number is given as part of the input. Finally, the *compositional product* of two problems  $f$  and  $g$ , denoted  $f * g$ , corresponds basically to the most complicated problem that can be obtained as a composition of  $f$  paired with the identity, a recursive function and  $g$  paired with identity (that last bit allows us to keep track of the initial input when applying  $f$ ).

Now let us list some of the most important<sup>1</sup> problems that we are going to use in the rest of the paper.

- $C_{\mathbb{N}}$ :  $\subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}$  (*closed choice on  $\mathbb{N}$* ) is the problem that takes as input an enumeration  $e$  of a (strict) subset of  $\mathbb{N}$  and such that, for every  $n \in \mathbb{N}$ ,  $n \in C_{\mathbb{N}}(e)$  if and only if  $n \notin \text{ran}(e)$  (where  $\text{ran}(e)$  is the *range* of  $e$ ).
- $TC_{\mathbb{N}}$ :  $\subseteq \mathbb{N}^{\mathbb{N}} \rightrightarrows \mathbb{N}$  (*totalization of closed choice on  $\mathbb{N}$* ) is the problem that takes as input an enumeration  $e$  of *any* subset of  $\mathbb{N}$  (hence now we allow the possibility that  $\text{ran}(e) = \mathbb{N}$ ) and such that, for every  $n \in \mathbb{N}$ ,  $n \in TC_{\mathbb{N}}(e)$  if and only if  $n \notin \text{ran}(e)$  or  $\text{ran}(e) = \mathbb{N}$ .
- $LPO$ :  $2^{\mathbb{N}} \rightrightarrows \{0, 1\}$  (*limited principle of omniscience*) takes as input any infinite binary string  $p$  and outputs 0 if and only if  $p = 0^{\mathbb{N}}$ .
- $LPO'$ :  $\subseteq 2^{\mathbb{N}} \rightrightarrows \{0, 1\}$ : takes as input (a code for) an infinite sequence  $\langle p_0, p_1, \dots \rangle$  of binary strings such that the function  $p(i) = \lim_{s \rightarrow \infty} p_i(s)$  is defined for every  $i \in \mathbb{N}$ , and outputs  $LPO(p)$ .

The definition of  $LPO'$  could have been obtained by composing the one of  $LPO$  and the definition of jump as given in [1]: we include it for convenience. Intuitively,  $LPO'$  corresponds to the power of answering a single binary  $\Sigma_2^0$ -question. In particular,  $LPO'$  is easily seen to be (strongly) Weihrauch equivalent to both  $lsFinite$  and  $lsCofinite$ , the problems accepting as input an infinite binary string  $p$  and outputting 1 if  $p$  contains finitely (respectively, cofinitely) many 1s, and 0 otherwise. We will use this fact throughout the paper.

Another problem of combinatorial nature, introduced in [3], will prove to be very useful for the rest of the paper.

**Definition 2.** *ECT is the problem whose instances are pairs  $(n, f) \in \mathbb{N} \times \mathbb{N}^{\mathbb{N}}$  such that  $f: \mathbb{N} \rightarrow n$  is colouring of the natural numbers with  $n$  colours, and such that, for every instance  $(n, f)$  and every  $b \in \mathbb{N}$ ,  $b \in ECT(n, f)$  if and only if*

$$\forall x > b \exists y > x (f(x) = f(y)).$$

Namely,  $ECT$  is the problems that, upon being given a function  $f$  of the integers with finite range, outputs a  $b$  such that, after that  $b$ , the palette of colours used is constant (hence its name, which stands for *eventually constant palette tail*). We will refer to suitable  $bs$  as *bounds* for the function  $f$ .

A very important result concerning  $ECT$  and that we will use throughout the paper is its equivalence with  $TC_{\mathbb{N}}^*$ .

<sup>1</sup> Whereas  $LPO$  and  $C_{\mathbb{N}}$  have been widely studied,  $TC_{\mathbb{N}}$  is somewhat less known (and does not appear in [1]): we refer to [8] for an account of its properties.

**Lemma 1** ([3, Theorem 9]).  $\text{ECT} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^*$

Another interesting result concerning ECT is the following: if we see it as a statement of second-order arithmetic (ECT can be seen as the principle asserting that for every colouring of the integers with finitely many colours there is a bound), then ECT and  $\Sigma_2^0\text{-IND}$  are equivalent over  $\text{RCA}_0$  (actually, over  $\text{RCA}_0^*$ ).

**Lemma 2** ([3, Theorem 7]). *Over  $\text{RCA}_0$ , ECT and  $\Sigma_2^0\text{-IND}$  are equivalent.*

Hence, thanks to the results above, it is clear why  $\text{TC}_{\mathbb{N}}^*$  appears as a natural candidate to be a “translation” of  $\Sigma_2^0\text{-IND}$  in the Weihrauch degrees.

We end this section with two technical results about Weihrauch degrees. The first one asserts that the two main problems that we use as benchmarks in the sequel, namely  $(\text{LPO}')^*$  and  $\text{TC}_{\mathbb{N}}^*$ , are incomparable in the Weihrauch lattice.

**Lemma 3.**  *$(\text{LPO}')^*$  and  $\text{TC}_{\mathbb{N}}^*$  are Weihrauch incomparable. Hence, we have that  $(\text{LPO}')^*, \text{TC}_{\mathbb{N}}^* <_{\text{W}} (\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^*$ .*

The second result asserts that the sequential composition of  $\text{LPO}' \times \text{TC}_{\mathbb{N}}$  after  $\text{C}_{\mathbb{N}}$  can actually be computed by the parallel product of  $\text{LPO}'$ ,  $\text{TC}_{\mathbb{N}}^n$  and  $\text{C}_{\mathbb{N}}$ . As customary, for every problem  $P$  we write  $P^n$  to mean  $\underbrace{P \times \cdots \times P}_{n \text{ times}}$ .

**Lemma 4.** *For every integers  $a$  and  $b$  and every problem  $P \leq_{\text{W}} \text{C}_{\mathbb{N}}$ , it holds that  $((\text{LPO}')^a \times \text{TC}_{\mathbb{N}}^b) * P \leq_{\text{W}} (\text{LPO}')^a \times \text{TC}_{\mathbb{N}}^b \times P$ .*

## 2.4 Green theory

Green theory is concerned with analysing the structure of ideals of finite semigroups, be they one-sided on the left or right or even two-sided. This gives rise to a rich structure to otherwise rather inscrutable algebraic properties of finite semigroups. We will need only a few related results, all of them relying on the definition of the *Green preorders* and of idempotents (recall that an element  $s$  of a semigroup is idempotent when  $ss = s$ ).

**Definition 3.** *For a semigroup  $(S, \cdot)$ , define the Green preorders as follows:*

- $s \leq_{\mathcal{R}} t$  if and only if  $s = t$  or  $s \in tS = \{ta : a \in S\}$  (suffix order)
- $s \leq_{\mathcal{L}} t$  if and only if  $s = t$  or  $s \in St = \{at : a \in S\}$  (prefix order)
- $s \leq_{\mathcal{H}} t$  if and only if  $s \leq_{\mathcal{R}} t$  and  $s \leq_{\mathcal{L}} t$
- $s \leq_{\mathcal{J}} t$  if and only if  $s \leq_{\mathcal{R}} t$  or  $s \leq_{\mathcal{L}} t$  or  $s \in StS = \{atb : (a, b) \in S^2\}$  (infix order)

*The associated equivalence relations are written  $\mathcal{R}, \mathcal{L}, \mathcal{H}, \mathcal{J}$ ; their equivalence classes are called respectively  $\mathcal{R}, \mathcal{L}, \mathcal{H}$ , and  $\mathcal{J}$ -classes.*

We conclude this section reporting, without proof, the two technical lemmas that will be needed in Section 4. Although not proved in second-order arithmetic originally, it is clear that their proofs goes through in  $\text{RCA}_0$ : besides straightforward algebraic manipulations, they only rely on the existence, for each finite semigroup  $(S, \cdot)$ , of an index  $n \in \mathbb{N}$  such that  $s^n$  is idempotent for any  $s \in S$ .

**Lemma 5 ([9, Proposition A.2.4]).** *If  $(S, \cdot)$  is a finite semigroup,  $H \subseteq S$  an  $\mathcal{H}$ -class, and some  $a, b \in H$  satisfy  $a \cdot b \in H$  then for some  $e \in H$  we know that  $(H, \cdot, e)$  is a group.*

**Lemma 6 ([9, Corollary A.2.6]).** *For any pair of elements  $x, y \in S$  a finite semigroup, if we have  $x \leq_{\mathcal{R}} y$  and  $x, y$   $\mathcal{J}$ -equivalent, then  $x$  and  $y$  are also  $\mathcal{R}$ -equivalent.*

### 3 The shuffle principle and related problems

#### 3.1 The shuffle principle in reverse mathematics

We start by giving a proof<sup>2</sup> of it in  $\text{RCA}_0 + \Sigma_2^0\text{-IND}$ , since, in a way, it gives a clearer picture of some properties of shuffles that we use in the rest of the paper.

**Lemma 7.**  $\text{RCA}_0 + \Sigma_2^0\text{-IND} \vdash \text{Shuffle}$

*Proof.* Let  $c : \mathbb{Q} \rightarrow n$  be a colouring of the rationals with  $n$  colours. For any natural number  $k$ , consider the following  $\Sigma_2^0$  formula  $\varphi(k)$ : “there exists a finite set  $L \subseteq n$  of cardinality  $k$  and there exist  $u, v \in \mathbb{Q}$  with  $u < v$  such that  $c(w) \in L$  for every  $w \in ]u, v[$ ”. Since  $\varphi(n)$  is true, it follows from the  $\Sigma_2^0$  minimization principle that there exists a minimal  $k$  such that  $\varphi(k)$  holds. Consider  $u, v \in \mathbb{Q}$  and the set of colours  $L$  corresponding to this minimal  $k$ . We now only need to show that  $]u, v[$  is a  $c$ -shuffle to conclude.

Let  $a = c(x)$  for some  $x \in ]u, v[$ . We need to prove that  $a$  occurs densely in  $]u, v[$ . Consider arbitrary  $x, y \in ]u, v[$  with  $x < y$ . We are done if we show that there exists some  $w \in ]x, y[$  with  $c(w) = a$ . So, suppose that there is no such  $w$ . By bounded  $\Sigma_1^0$ -comprehension, there exists a finite set  $L' \subset n$  consisting of exactly those  $b \in n$  which occur as values of  $c|_{]x, y[}$ . Clearly,  $\varphi(|L'|)$  holds. However,  $L' \subseteq L$ , and by assumption  $a \notin L'$ , so  $|L'| < k$ , contradicting the choice of  $k$  as the minimal number such that  $\varphi(k)$ .  $\square$

The proof above shows an important feature of shuffles: given a certain interval  $]u, v[$ , any of its subintervals having the fewest colours is a shuffle. Interestingly, the above implication reverses, so we have the following equivalence.

**Theorem 3.** *Over  $\text{RCA}_0$ , Shuffle is equivalent to  $\Sigma_2^0\text{-IND}$ .*

We do not offer a proof of the reversal here; such a proof can easily be done by taking inspiration from the argument we give for Lemma 11. With this equivalence in mind, we now introduce Weihrauch problems corresponding to Shuffle, beginning with the stronger one.

**Definition 4.** *We regard Shuffle as the problem with instances  $(k, f) \in \mathbb{N} \times \mathbb{N}^{\mathbb{N}}$  such that  $f : \mathbb{Q} \rightarrow k$  is a colouring of the rationals with  $k$  colours, and such that, for every instance  $(k, f)$ , for every pair  $(u, v) \in [\mathbb{Q}]^2$  and for every  $C \subseteq k$ ,  $(u, v, C) \in \text{Shuffle}(k, f)$  if and only if  $]u, v[$  is a  $f$ -shuffle for the colours in  $C$ .*

<sup>2</sup> From Leszek A. Kołodziejczyk, personal communication.

Note that the output of `Shuffle` contains two components that cannot be easily computed from one another. It is thus natural to define two weakenings that we also study here.

**Definition 5.** `iShuffle` (“i” for “interval”) is the same problem as `Shuffle` save for the fact that a valid output only contains the interval  $]u, v[$  which is an  $f$ -shuffle. Complementarily, `cShuffle` (“c” for “colour”) is the problem that only outputs a possible set of colours taken by an  $f$ -shuffle.

We will first start analysing the weaker problems `cShuffle` and `iShuffle` and show they are respectively equivalent to  $(\text{LPO}')^*$  and  $\text{TC}_{\mathbb{N}}^*$ . This will also imply that `Shuffle` is stronger than  $(\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^*$ , but the converse will require an entirely distinct proof.

### 3.2 Weihrauch complexity of the weaker shuffle problems

We first start by discussing `cShuffle` briefly. Showing that it is stronger than  $(\text{LPO}')^*$  is relatively straightforward.

**Lemma 8.**  $(\text{LPO}')^* \leq_W \text{cShuffle}$

*Proof idea.* By noting that  $\text{cShuffle}^2 \leq_W \text{cShuffle}$  by considering pairing of distinct colourings, it suffices to show  $\text{LPO}' \leq_W \text{cShuffle}$ . The reduction is then done by precomposing a computable map  $f : \mathbb{Q} \rightarrow \mathbb{N}$  with the input such that infinite sets are taken to dense sets by  $f^{-1}$ .  $\square$

The reversal is more difficult; in this case, it is helpful to be more precise, and give a better estimate of the number of instances of `LPO'` necessary to solve an instance  $(n, c)$  of `cShuffle`.

**Lemma 9.** Let  $\text{cShuffle}_n$  be the restriction of `cShuffle` to the instances of the form  $(n, c)$ . Then,  $\text{cShuffle}_n \leq_W (\text{LPO}')^{2^n - 1}$

*Proof idea.* We use one instance of `LPO'` for each non-empty subset  $C$  of  $n$ , to decide if there is an interval in which only colours from  $C$  appear. The  $\subseteq$ -minimal  $C$  for which it happens are guaranteed to correspond to a  $c$ -shuffle.  $\square$

Putting the two previous results together, we have the following.

**Theorem 4.**  $(\text{LPO}')^* \equiv_W \text{cShuffle}$

Now we move to `iShuffle`.

**Lemma 10.** Let  $\text{iShuffle}_n$  be the restriction of `iShuffle` to the instances of the form  $(n, c)$ . For every  $n \in \mathbb{N}$  with  $n \geq 2$ ,  $\text{iShuffle}_n \leq_{\text{sW}} \text{TC}_{\mathbb{N}}^{n-1}$ .

*Proof idea.* Fix an enumeration of the intervals of  $\mathbb{Q}$  and let  $(n, c)$  be an instance of `iShuffle`. The idea of the reduction is the following. With the first instance  $e_{n-1}$  of  $\text{TC}_{\mathbb{N}}$ , we look for an interval  $I$  on which  $c$  takes only  $n - 1$  colours: if no such interval exists, then this means that every colour is dense in every interval,

and so every interval would be a valid solution to  $c$ . Hence, we can suppose that such an interval is eventually found: we will then use the second instance  $e_{n-2}$  of  $\text{TC}_{\mathbb{N}}$  to look for a subinterval of  $I_j$  where  $c$  takes only  $n-2$  values. Again, we can suppose that such an interval is found. We proceed like this for  $n-1$  steps, so that in the end the last instance  $e_1$  of  $\text{TC}_{\mathbb{N}}$  is used to find an interval  $I'$  inside an interval  $I$  on which we know that at most two colours appear. Again, we look for  $c$ -monochromatic intervals: if we do not find any, then  $I'$  is already a  $c$ -shuffle, whereas if we do find one, then that interval is a solution.

**Lemma 11.** *For every  $n \in \mathbb{N}$  with  $n \geq 2$ ,  $\text{ECT}_n \leq_{\text{sW}} \text{iShuffle}_n$ .*

*Proof.* Let  $(n, f)$  be an instance of  $\text{ECT}_n$ . We will slightly abuse notation, in the following sense: we will define a colouring  $c : \mathbb{D} \rightarrow n$  of the dyadics, instead of directly defining a colouring of the rationals. We will then exploit the fact that there is a computable order-preserving bijection between the dyadic numbers  $\mathbb{D}$  and  $\mathbb{Q}$ , and we will apply  $\text{iShuffle}_n$  to  $(n, c)$ .

We define  $c : \mathbb{D} \rightarrow n$  as follows: let  $d = \frac{m}{2^k}$  be a dyadic number, then we let  $c(d) = f(h)$ . Hence, all the points of the same denominator have the same colour according to  $c$ . Let  $(\frac{u}{2^k}, \frac{v}{2^l}) \in \text{iShuffle}_n(n, c)$ . Let  $b$  be such that  $\frac{1}{2^b} < \frac{v}{2^l} - \frac{u}{2^k}$ . We claim that  $b$  is a bound for  $f$ . Suppose not, then there is a colour  $i < n$  and a number  $x \in \mathbb{N}$  such that  $x > b$  and  $f(x) = i$ , but for no  $y > x$  it holds that  $f(y) = i$ . Hence, all the dyadics of the form  $\frac{w}{2^x}$  are given colour  $i$ , but  $i$  does not appear densely often in any interval of  $\mathbb{D}$ . But by definition of  $b$ , there is a  $z \in \mathbb{N}$  such that  $\frac{z}{2^x} \in ]\frac{u}{2^k}, \frac{v}{2^l}[$ , which is a contradiction. Hence  $b$  is a bound for  $f$ .

We can then relate this to  $\text{TC}_{\mathbb{N}}$ ; the next lemma follows directly by inspecting the second half of [3, Theorem 9].

**Lemma 12.** *For every  $n \in \mathbb{N}$  with  $n \geq 2$ ,  $\text{TC}_{\mathbb{N}}^{n-1} \leq_{\text{W}} \text{ECT}_n$  (and this cannot be improved to a strong Weihrauch reduction).*

Putting things together, we finally have a characterization of  $\text{iShuffle}$ .

**Theorem 5.** *For every  $n \geq 2$ , we have the Weihrauch equivalence*

$$\text{ECT}_n \equiv_{\text{W}} \text{iShuffle}_n \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^{n-1} \quad \text{whence} \quad \text{ECT} \equiv_{\text{W}} \text{iShuffle} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^*$$

### 3.3 The full shuffle problem

The main result of this section is that  $\text{Shuffle} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^*$ , which will be proved in Theorem 6. In order to do that, it is convenient to observe that, similarly to  $\text{cShuffle}$  and  $\text{iShuffle}$ ,  $\text{Shuffle}$  is closed under finite parallelization.

**Lemma 13.**  $\text{Shuffle} \times \text{Shuffle} \leq_{\text{W}} \text{Shuffle}$ . *Therefore,  $\text{Shuffle}^* \equiv_{\text{W}} \text{Shuffle}$ .*

This enables to easily prove the following lemma

**Lemma 14.**  $\text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^* \leq_{\text{W}} \text{Shuffle}$

*Proof.* From Theorem 4 and Theorem 5, we have that  $\text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^* \leq_W \text{iShuffle} \times \text{cShuffle}$ , and since clearly  $\text{iShuffle} \sqcap \text{cShuffle} \leq_W \text{Shuffle}$ , by Lemma 13 we have that  $\text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^* \leq_W \text{Shuffle}$ .

For the other direction, again, we want to be precise as to the number of instances of  $\text{TC}_{\mathbb{N}} \times (\text{LPO}')$  needed to solve an instance of  $\text{Shuffle}$ .

**Lemma 15.** *Let  $\text{Shuffle}_n$  be the restriction of  $\text{Shuffle}$  to the instances of the form  $(n, c)$ . Then,  $\text{Shuffle}_n \leq_W (\text{TC}_{\mathbb{N}} \times \text{LPO}')^{2^n - 1}$*

*Proof idea.* Let  $(n, c)$  be an instance of  $\text{Shuffle}$ . Essentially, the main idea for the proof of  $\text{Shuffle}_n \leq_W (\text{TC}_{\mathbb{N}} \times \text{LPO}')^{2^n - 1}$  is to combine the proofs of Lemma 10 and of Theorem 4: we want to use  $\text{TC}_{\mathbb{N}}$  to find a candidate interval for a certain subset  $C$  of  $n$ , and on the side we use  $\text{LPO}'$  (or equivalently,  $\text{IsFinite}$ ) to check for every such set  $C$  whether a  $c$ -shuffle for the colours of  $C$  actually exists. The main difficulty with the idea described above is that the two proofs must be intertwined, in order to be able to find both a  $c$ -shuffle and the set of colours that appear on it.

Putting the previous results together, we obtain the following.

**Theorem 6.**  $\text{Shuffle} \equiv_W \text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^*$

## 4 $\text{ART}_{\mathbb{Q}}$ and related problems

We now analyse the logical strength of the principle  $\text{ART}_{\mathbb{Q}}$ . As in the case of  $\text{Shuffle}$ , we start with a proof of  $\text{ART}_{\mathbb{Q}}$  in  $\text{RCA}_0 + \Sigma_2^0\text{-IND}$ . This will give us enough insights to assess the strength of the corresponding Weihrauch problems.

### 4.1 Additive Ramsey over $\mathbb{Q}$ in reverse mathematics

As a preliminary step, we figure out the strength of  $\text{ORT}_{\mathbb{Q}}$ , the ordered Ramsey theorem over  $\mathbb{Q}$ . It is readily provable from  $\text{RCA}_0$  and is thus much weaker than most other principles we analyse. We can be a bit more precise by considering  $\text{RCA}_0^*$  which is basically the weakening of  $\text{RCA}_0$  where induction is restricted to  $\Delta_1^0$  formulas (see [11, Definition X.4.1] for a nice formal definition).

**Lemma 16.**  $\text{RCA}_0^* \vdash \text{RCA}_0 \Leftrightarrow \text{ORT}_{\mathbb{Q}}$

We now show that the shuffle principle is equivalent to  $\text{ART}_{\mathbb{Q}}$ . So overall, much like the Ramsey-like theorems of [7], they are equivalent to  $\Sigma_2^0$ -induction.

**Lemma 17.**  $\text{RCA}_0 + \text{Shuffle} \vdash \text{ART}_{\mathbb{Q}}$ . Hence,  $\text{RCA}_0 + \Sigma_2^0\text{-IND} \vdash \text{ART}_{\mathbb{Q}}$ .

*Proof.* Fix a finite semigroup  $(S, \cdot)$  and an additive colouring  $c : [\mathbb{Q}]^2 \rightarrow S$ . Say a colour  $s \in S$  occurs in  $X \subseteq \mathbb{Q}$  if there exists  $(x, y) \in [X]^2$  such that  $c(x, y) = s$ .

We proceed in two stages: first, we find an interval  $]u, v[$  such that all colours occurring in  $]u, v[$  are  $\mathcal{J}$ -equivalent to one another. Then we find a subinterval of  $]u, v[$  partitioned into finitely many dense homogeneous sets. For the first step, we apply the following lemma to obtain a subinterval  $I_1 = ]u, v[$  of  $\mathbb{Q}$  where all colours lie in a single  $\mathcal{J}$ -class.

**Lemma 18.** *For every additive colourings  $c$ , there exists  $(u, v) \in [\mathbb{Q}]^2$  such that all colours of  $c|_{]u, v[}$  are  $\mathcal{J}$ -equivalent to one another.*

*Proof.* If we post-compose  $c$  with a map taking a semigroup element to its  $\mathcal{J}$ -class, we get an ordered colouring. Applying  $\text{ORT}_{\mathbb{Q}}$  yields a suitable interval.  $\square$

Moving on to stage two of the proof, we want to look for a subinterval of  $I_1$  partitioned into finitely many dense homogeneous sets. To this end, define a colouring  $\gamma : I_1 \rightarrow S^2$  by setting  $\gamma(z) = (c(u, z), c(z, v))$ .

By Shuffle, there exist  $x, y \in I_1$  with  $x < y$  such that  $]x, y[$  is a  $\gamma$ -shuffle. For  $l, r \in S$ , define  $H_{l,r} := \gamma^{-1}(\{(l, r)\}) \subseteq ]x, y[$ ; note that this is a set by bounded  $\Sigma_1^0$ -comprehension. Clearly, all  $H_{l,r}$  are either empty or dense in  $]x, y[$ , and moreover  $]x, y[ = \bigcup_{l,r} H_{l,r}$ . Since there are finitely many pairs  $(l, r)$ , all we have to prove is that each non-empty  $H_{l,r}$  is homogeneous for  $c$ .

Let  $s = c(w, z)$  such that  $w, z \in H_{l,r}$  with  $w < z$ . By additivity of  $c$  and the definition of  $H_{l,r}$ ,

$$s \cdot r = c(w, z) \cdot c(z, v) = c(w, v) = r. \quad (1)$$

In particular  $r \leq_{\mathcal{R}} s$ . But we also have  $r \mathcal{J} s$ , which gives  $r \mathcal{R} s$  by Lemma 6. This shows that all the colours occurring in  $H_{l,r}$  are  $\mathcal{R}$ -equivalent to one another. A dual argument shows that they are all  $\mathcal{L}$ -equivalent, so they are all  $\mathcal{H}$ -equivalent. The assumptions of Lemma 5 are satisfied, so their  $\mathcal{H}$ -class is actually a group.

All that remains to be proved is that any colour  $s$  occurring in  $H_{l,r}$  is actually the (necessarily unique) idempotent of this  $\mathcal{H}$ -class. Since  $r \mathcal{R} s$ , there exists  $a$  such that  $s = r \cdot a$ . But then by (1),  $s \cdot s = s \cdot r \cdot a = r \cdot a = s$ , so  $s$  is necessarily the idempotent. Thus, all sets  $H_{l,r}$  are homogeneous and we are done.  $\square$

We conclude this section by showing that the implication proved in the Lemma above reverses., thus giving the precise strength of  $\text{ART}_{\mathbb{Q}}$  over  $\text{RCA}_0$ .

**Theorem 7.**  $\text{RCA}_0 + \text{ART}_{\mathbb{Q}} \vdash \text{Shuffle}$ . Hence,  $\text{RCA}_0 \vdash \text{ART}_{\mathbb{Q}} \leftrightarrow \Sigma_2^0\text{-IND}$ .

*Proof.* Let  $f : \mathbb{Q} \rightarrow n$  be a colouring of the rationals. Let  $(S_n, \cdot)$  be the finite monoid defined by  $S_n = n$  and  $a \cdot b = a$  for every  $a, b \in S_n$ . Define the colouring  $c : [\mathbb{Q}]^2 \rightarrow S_n$  by setting  $c(x, y) = f(x)$  for every  $x, y \in \mathbb{Q}$ . Since for every  $x < y < z$ ,  $c(x, z) = f(x) = c(x, y) \cdot c(y, z)$ ,  $c$  is additive. By additive Ramsey, there exists  $]u, v[$  which is  $c$ -densely homogeneous and thus a  $f$ -shuffle.  $\square$

## 4.2 Weihrauch complexity of additive Ramsey

We now start the analysis of  $\text{ART}_{\mathbb{Q}}$  in the context of Weihrauch reducibility. We will mostly summarize results, relying on the intuitions we built up so far. First let us summarize some non-trivial auxiliary results concerning  $\text{ORT}_{\mathbb{Q}}$ .

**Theorem 8.** *Let  $\text{ORT}_{\mathbb{Q}}$  be the problem whose instances are ordered colourings  $c : [\mathbb{Q}]^2 \rightarrow P$ , for some finite poset  $(P, <)$ , and whose outputs on input  $c$  are the intervals on which  $c$  is constant and the colour, and  $\text{sORT}_{\mathbb{Q}}$  the version where we only get the interval. We have*

$$\text{ORT}_{\mathbb{Q}} \equiv_{\text{W}} \text{LPO}^* \quad \text{and} \quad \text{sORT}_{\mathbb{Q}} \equiv_{\text{W}} \text{C}_{\mathbb{N}}$$

Now let us discuss Weihrauch problems corresponding to  $\text{ART}_{\mathbb{Q}}$ .

**Definition 6.** *Regard  $\text{ART}_{\mathbb{Q}}$  as the following Weihrauch problem: the instances are pairs  $(S, c)$  where  $S$  is a finite monoid and  $c : [\mathbb{Q}]^2 \rightarrow S$  is an additive colouring of  $[\mathbb{Q}]^2$ , and such that, for every  $C \subseteq S$  and every interval  $I$  of  $\mathbb{Q}$ ,  $(I, C) \in \text{ART}_{\mathbb{Q}}$  if and only if  $I$  is  $c$ -densely homogeneous for the colours of  $C$ .*

Similarly to what we did in Definition 5, introduce also the problems  $\text{cShuffle}$  and  $\text{iShuffle}$  that only return the set of colours and the interval respectively.

We start by noticing that the proof of Theorem 7 can be readily adapted to show the following.

**Lemma 19.** –  $\text{cShuffle} \leq_{\text{sW}} \text{cART}_{\mathbb{Q}}$ , hence  $(\text{LPO}')^* \leq_{\text{W}} \text{cART}_{\mathbb{Q}}$ .  
 –  $\text{iShuffle} \leq_{\text{sW}} \text{iART}_{\mathbb{Q}}$ , hence  $\text{TC}_{\mathbb{N}}^* \leq_{\text{W}} \text{iART}_{\mathbb{Q}}$ .  
 –  $\text{Shuffle} \leq_{\text{sW}} \text{ART}_{\mathbb{Q}}$ , hence  $(\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^* \leq_{\text{W}} \text{ART}_{\mathbb{Q}}$ .

The rest of the section is devoted to find upper bounds for  $\text{cART}_{\mathbb{Q}}$ ,  $\text{iART}_{\mathbb{Q}}$  and  $\text{ART}_{\mathbb{Q}}$ . The first step to take is a careful analysis of the proof of Lemma 17. For an additive colouring  $c : [\mathbb{Q}]^2 \rightarrow S$ , the proof can be summarized as follows:

- we start with an application of  $\text{ORT}_{\mathbb{Q}}$  to find an interval  $]u, v[$  such that all the colours of  $c|_{]u, v[}$  are all  $\mathcal{J}$ -equivalent (Lemma 18).
- define the colouring  $\gamma : \mathbb{Q} \rightarrow S^2$  and apply  $\text{Shuffle}$  to it, thus obtaining the interval  $]x, y[$ .
- the rest of the proof consist simply in showing that  $]x, y[$  is a  $c$ -densely homogeneous interval.

Hence, from the uniform point of view, this shows that  $\text{ART}_{\mathbb{Q}}$  can be computed via a composition of  $\text{Shuffle}$  and  $\text{ORT}_{\mathbb{Q}}$ . Whence the next theorem.

**Theorem 9.** –  $\text{cART}_{\mathbb{Q}} \leq_{\text{W}} (\text{LPO}')^* \times \text{LPO}^*$ , therefore  $\text{cART}_{\mathbb{Q}} \equiv_{\text{W}} (\text{LPO}')^*$ .  
 –  $\text{iART}_{\mathbb{Q}} \leq_{\text{W}} \text{TC}_{\mathbb{N}}^* \times \text{LPO}^*$ , therefore  $\text{iART}_{\mathbb{Q}} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^*$ .  
 –  $\text{ART}_{\mathbb{Q}} \leq_{\text{W}} (\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^* \times \text{LPO}^*$ , therefore  $\text{ART}_{\mathbb{Q}} \equiv_{\text{W}} (\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^*$ .

## 5 Conclusion and future work

We have analysed the strength of an additive Ramseyan theorem over the rationals from the point of view of reverse mathematics and found it to be equivalent to  $\Sigma_2^0$ -induction, and then refined that analysis to a Weihrauch equivalence with  $\text{TC}_{\mathbb{N}}^* \times (\text{LPO}')^*$ . We have also shown that the problem decomposes nicely: we get the distinct complexities  $(\text{LPO}')^*$  or  $\text{TC}_{\mathbb{N}}^*$  if we only require either the set of colours or the location of the homogeneous set respectively. The same holds true for another equally and arguably more fundamental shuffle principle.

For further work, we believe it should be straightforward to carry out a similar analysis for Ramsey theorem over  $\mathbb{N}$  (known to be equivalent to  $\Sigma_2^0$ -induction in the context of reverse mathematics [7]). Related to  $\mathbb{Q}$ , there are also weaker combinatorial principles of interest to look at like  $(\eta)_{< \infty}^1$  from [4]. More generally, it would be interesting to study standard mathematical theorems that are known to be equivalent to  $\Sigma_2^0$ -IND in reverse mathematics (as some results in say [6]) and see if general patterns emerge.

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## A Proof of Lemma 3

*Proof.*  $\text{TC}_{\mathbb{N}}^* \not\leq_W (\text{LPO}')^*$ : to do this, we actually show the stronger result that  $\text{C}_{\mathbb{N}} \not\leq_W (\text{LPO}')^*$ . Suppose for a contradiction that a reduction exists, as witnessed by the computable functionals  $H$  and  $K$ : this means that, for every instance  $e$  of  $\text{C}_{\mathbb{N}}$ ,  $H(e)$  is an instance of  $(\text{LPO}')^*$ , and for every solution  $\sigma \in (\text{LPO}')^*(H(e))$ ,  $K(e, \sigma)$  is a solution to  $e$ , i.e.  $K(e, \sigma) \in \text{C}_{\mathbb{N}}(e)$ . We build an instance  $e$  of  $\text{C}_{\mathbb{N}}$  contradicting this.

We start by letting  $e$  enumerate the empty set. At a certain stage  $s$ , by definition of instances of  $(\text{LPO}')^*$ ,  $H(e|_s)$  converges to a certain  $n$ , the number of applications of  $\text{LPO}'$  that are going to be used in the reduction. Hence, however we continue the construction of  $e$ , there are at most  $2^n$  possible values for  $(\text{LPO}')^*(H(e))$ , call them  $\sigma_0, \dots, \sigma_{2^n-1}$ . It is now simple to diagonalize against

all of them, one at a time, as we now explain. We let  $e$  enumerate the empty set until, for some  $s_0$  and  $i_0$ ,  $K(e|_{s_0}, \sigma_{i_0})$  converges to a certain  $m_0$ : notice that such an  $i_0$  has to exist, by our assumption that  $H$  and  $K$  witness the reduction of  $\mathbb{C}_{\mathbb{N}}$  to  $(\text{LPO})^*$ . Then, we let  $e$  enumerate  $m_0$  at stage  $s_0 + 1$ : this implies that  $\sigma_{i_0}$  cannot be a valid solution to  $H(e)$ , otherwise  $K(e, \sigma_{i_0})$  would be a solution to  $e$ . We then keep letting  $e$  enumerating  $\{m, m_0\}$  until a, for certain  $s_1$  and  $i_1$ ,  $K(e|_{s_1}, \sigma_{i_1})$  converges to  $m_1$ . We then let  $e$  enumerate  $\{m, m_0, m_1\}$ , and continue the construction in this fashion. After  $2^n$  many steps, we will have diagonalized against all the  $\sigma_i$ , thus reaching the desired contradiction.

$\text{TC}_{\mathbb{N}}^* \not\leq (\text{LPO}')^*$ : we use the fact that  $\text{TC}_{\mathbb{N}}^* \equiv_{\text{W}} \text{ECT}$  (see [3]). We will show a stronger result, namely that  $\text{lsFinite}_{\mathbb{S}} \not\leq_{\text{W}} \text{ECT}$ , where  $\text{lsFinite}_{\mathbb{S}} : 2^{\mathbb{N}} \rightarrow \mathbb{S}$  is the following problem, as defined in [8]: for every  $p \in 2^{\mathbb{N}}$ ,  $\text{lsFinite}_{\mathbb{S}}(p) = \top$  if  $p$  contains only finitely many occurrences of 1 and  $\text{lsFinite}_{\mathbb{S}}(p) = \perp$  otherwise<sup>3</sup>.

Notice that  $\text{lsFinite}_{\mathbb{S}} \leq_{\text{W}} \text{LPO}'$ : given any string  $p \in 2^{\mathbb{N}}$ , we consider the instance  $\langle p_0, p_1, \dots \rangle$  of  $\text{LPO}'$  defined as follows: for every  $i$ ,  $p_i$  takes value 1 until (and if) the  $i$ th occurrence of 1 is found in  $p$ , after which point it takes value 0. Then,  $\text{LPO}'(\langle p_0, p_1, \dots \rangle) = 1$  if and only if  $\text{lsFinite}_{\mathbb{S}}(p) = \perp$ . Hence, if we show that  $\text{lsFinite}_{\mathbb{S}} \not\leq_{\text{W}} \text{ECT}$ , we have in particular that  $(\text{LPO}')^* \not\leq_{\text{W}} \text{TC}_{\mathbb{N}}^*$ .

Suppose for a contradiction that a reduction exists and is witnessed by functionals  $H$  and  $K$ . We build an instance  $p$  of  $\text{lsFinite}_{\mathbb{S}}$  contradicting this.

Let us consider the colouring  $H(0^{\mathbb{N}})$ , and let  $b_0 \in \text{ECT}(H(0^{\mathbb{N}}))$  be a bound for it. Since  $\text{lsFinite}_{\mathbb{S}}(0^{\mathbb{N}}) = \top$ , there is an  $n_0$  such that the following two conditions hold:  $K(0^{n_0}, b_0)$  converges and gives as output  $\top$ , and moreover, the partial colouring  $H(0^{n_0})$  is such that, for every colour  $j$  showing up after  $b$  in  $H(0^{\mathbb{N}})$  (i.e., every colour in the constant palette of  $H(0^{\mathbb{N}})$ ), there is an  $m > b_0$  such that  $H(p)(m) = j$ . We then consider the colouring  $H(0^{n_0}10^{\mathbb{N}})$ , and a bound  $b_1$  for it. Again by the fact that  $\text{lsFinite}_{\mathbb{S}}(0^{n_0}10^{\mathbb{N}}) = \top$ , there is an  $n_1$  satisfying the following two conditions:  $K(0^{n_0}10^{n_1}, b_1)$  converges to  $\top$  and moreover, the partial colouring  $H(0^{n_0}10^{n_1})$  is such that, for every colour  $j$  in the constant palette of  $H(0^{n_0}10^{\mathbb{N}})$ , there are *two* numbers  $m > m' > b_1$  such that  $H(0^{n_0}10^{n_1})(m) = H(0^{n_0}10^{n_1})(m') = j$ . We then move to consider the colouring  $H(0^{n_0}10^{n_1}10^{\mathbb{N}})$ . We iterate the procedure infinitely many times.

Let  $p \in 2^{\mathbb{N}}$  be the infinite binary string obtained as the limit of the process described in the previous paragraph, and notice that  $\text{lsFinite}_{\mathbb{S}}(p) = \perp$ .

Let us consider the colouring  $H(p)$  and a bound  $b \in \text{ECT}(H(p))$  for this colouring. If there exists an  $i$  such that  $b \leq b_i$ , where  $b_i$  is a bound found in the construction of  $p$ , then  $b_i$  is also a valid bound for  $H(p)$ . But then, by the construction,  $K(p, b_i) = \top$ , which cannot happen.

Hence, every bound  $b$  for  $H(p)$  is larger than every bound  $b_i$  bound during the construction. But then, there is a  $b' < b$  such that for infinitely many  $i$ ,  $b' = b_i$ . We claim that  $b'$  is a valid bound for  $H(p)$ . Suppose not: then, there is a colour  $j$  of  $H(p)$  that appears only finitely many times after  $b'$ , say  $k$  times. But since at stage  $s$  of the construction of  $p$  we forced every colour appearing after

<sup>3</sup>  $\mathbb{S}$  is the Sierpinski space  $\{\top, \perp\}$ , where  $\top$  is coded by the binary strings containing at least one 1, and  $\perp$  is coded by  $0^{\mathbb{N}}$ .  $\text{lsFinite}_{\mathbb{S}}$  is strictly weaker than  $\text{lsFinite}$

$b_s$  to occur at least  $s + 1$  times after the bound  $b_s$ , by the fact that  $b'$  is chosen as bound infinitely many times we can find a stage where we have forced  $j$  to appear  $k + 1$  times after  $b'$ , thus proving that  $b'$  is a valid bound. Then, as in the previous case, we have that  $K(p, b') = \top$ , yielding the desired contradiction.  $\square$

## B Proof of Lemma 4

*Proof.* Clearly, it is sufficient to prove that  $((\text{LPO}')^a \times \text{TC}_{\mathbb{N}}^b) * \mathbb{C}_{\mathbb{N}} \leq_W (\text{LPO}')^a \times \text{TC}_{\mathbb{N}}^b \times \mathbb{C}_{\mathbb{N}}$ , or, equivalently, that  $(\text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b) * \mathbb{C}_{\mathbb{N}} \leq_W \text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b \times \mathbb{C}_{\mathbb{N}}$ .

Let  $\text{minC}_{\mathbb{N}}$  be the single-valued problem whose instances are the instances of  $\mathbb{C}_{\mathbb{N}}$ , and whose solution for every instance  $e$  is the *minimal*  $n$  such that  $n \notin \text{ran}(e)$ . Since it is easy to see that  $\text{minC}_{\mathbb{N}} \equiv_W \mathbb{C}_{\mathbb{N}}$ , we can prove that  $(\text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b) * \mathbb{C}_{\mathbb{N}} \leq_W \text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b \times \text{minC}_{\mathbb{N}}$ .

Let  $(e, i) \in \mathbb{N}^{\mathbb{N}} \times \mathbb{N}^{\mathbb{N}}$  be an instance of  $\text{TC}_{\mathbb{N}} * \mathbb{C}_{\mathbb{N}}$ , where  $e$  is an instance of  $\mathbb{C}_{\mathbb{N}}$  and, for every  $n \in \mathbb{C}_{\mathbb{N}}(e)$ ,  $\Phi(n, i)$  is an instance of  $\text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b$ , where  $\Phi$  is a universal Turing functional. For notational convenience, we will rephrase this by saying that there are  $a + b$  functionals  $\Gamma^0, \Gamma^1, \dots, \Gamma^{a-1}$  and  $\Delta^0, \Delta^1, \dots, \Delta^{b-1}$  such that, for every  $j < a$ , every  $k < b$  and every  $n \in \mathbb{C}_{\mathbb{N}}(e)$ ,  $\Gamma^j(n, i)$  is an instance of  $\text{IsFinite}$  and  $\Delta^k(n, i)$  is an instance of  $\text{TC}_{\mathbb{N}}$ .

We now describe the forward functional of the reduction, i.e. we describe how to obtain the instances  $e'_k$  of  $\text{TC}_{\mathbb{N}}^b$  and  $p_j$  of  $(\text{IsFinite})^a$  that will be passed to  $(\text{IsFinite})^a \times \text{TC}_{\mathbb{N}}^b \times \mathbb{C}_{\mathbb{N}}$ .

For every  $k < b$ , we define the instance  $e'_k$  of  $\text{TC}_{\mathbb{N}}$  in stages as follows. Let a computable bijection  $\langle \cdot, \cdot \rangle : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$  be given. At step  $s$ , we check what (finite) set is being enumerated by  $e(s)$ , and we take the minimal element of the complement of it, call it  $n_s$ . Then, we run  $\Delta_s^k(n_s, i)(0), \dots, \Delta_s^k(n_s, i)(s)$ , i.e. we run the first  $s$  steps of the computation of  $\Delta^k(n_s, i)(\ell)$  for every  $\ell \leq s$ . Let  $m_s$  be the minimal number that does not appear in any of the  $\Delta_s^k(n_s, i)(\ell)$ , and let  $e'$  enumerate  $\langle s, h \rangle$  for every  $h \neq m_s$  (if all of the  $\Delta_s^k(n_s, i)(\ell)$  are empty, then let  $e'$  enumerate all of the  $\langle s, h \rangle$ ). Moreover, for  $s > 0$ , if  $m_s \neq m_{s-1}$ , we let  $e'$  enumerate  $\langle t, m_{s-1} \rangle$  as well, for all  $t < s$ . Iterate the procedure for every  $s \in \mathbb{N}$ .

Similarly, for every  $j < a$ , we define an instance  $p_j$  of  $\text{IsFinite}$  in stages as follows: at every stage  $s$ , we will define a finite approximation  $p_{j,s} \in 2^{<\mathbb{N}}$  of the final string  $p_j$ . All of these strings are constituted by two parts,  $p_{j,s}^g$  (the “garbage” part of the string) and  $p_{j,s}^u$  (the “useful” part of the string), and at every stage  $p_{j,s} = p_{j,s}^g \hat{\ } p_{j,s}^u$ . We start stage 0 by putting  $p_{j,0}^g = p_{j,0}^u = \emptyset$ . For every stage  $s$ , we check what (finite) set is being enumerated by  $e(s)$ , and we take the minimal element of the complement of it, call it  $n_s$ . We run  $\Gamma_s^j(n_s, i)(0), \dots, \Gamma_s^j(n_s, i)(s)$  (notice that we can always suppose that  $\Gamma^j(n_s, i)(\ell + 1) \downarrow \rightarrow \Gamma^j(n_s, i)(\ell) \downarrow$ ). Then, there are two cases.

– If  $n_s = n_{s-1}$ , we let

$$p_{j,s+1}^g = p_{j,s}^g \text{ and } p_{j,s+1}^u = \Gamma_s^j(n_s, i)(0) \hat{\ } \dots \hat{\ } \Gamma_s^j(n_s, i)(s),$$

i.e., we let the “garbage” part of the string unchanged and we extend the “useful” part by the new elements enumerated by  $\Gamma^j$ .

– If instead  $n_s \neq n_{s-1}$ , we let

$$p_{j,s+1}^g = p_{j,s}^g \hat{\ } p_{j,s}^u \text{ and } p_{j,s+1}^u = \Gamma_s^j(n_s, i)(0) \hat{\ } \dots \hat{\ } \Gamma_s^j(n_s, i)(s),$$

i.e., we extend the “garbage” part of the string to include what previously was the “useful” part, and we start with a new “useful” part obtained by running the computation  $\Gamma^j(n_s, i)$ .

We iterate the procedure for every integer  $s$ .

After a sufficiently large step  $s$ ,  $n_s$  stabilizes to the actual  $n$  such that  $n \in \min\mathbb{C}_{\mathbb{N}}(e)$ , and so  $\Gamma^j(n_s, i)$  and  $\Delta^k(n_s, i)$  produce actual instances  $p_j$  and the  $e'_k$  of  $\text{LPO}'$  and  $\text{TC}_{\mathbb{N}}$ , respectively (the case of  $\text{LPO}'$  is maybe less than obvious: the fundamental fact is that, for a sufficiently large stage  $s$ , the “garbage” part of every string stops growing, and so we are just extending the “useful” part from that stage on).

Then, for every  $j < a$ ,  $\text{IsFinite}(p_j) = \text{IsFinite}(\Gamma^j(n_s, i))$ , since  $p_j$  is exactly  $\Gamma^j(n_s, i)$  plus a finite initial segment (namely, the “garbage” part of the string). Moreover, for every  $k < b$ , it is easy to see that, if  $\Delta^k(n_s, i)$  enumerates all of  $\mathbb{N}$ , then so does  $e'_k$ , whereas if  $m$  is minimal such that  $m \notin \text{ran } \Delta^k(n_s, i)$ , then  $e'_k$  enumerates all of  $\mathbb{N}$  except for numbers of the form  $\langle t, m \rangle$ , for  $t$  large enough.

Hence, if we consider some

$$(c_0, \dots, c_{a-1}, d_0, \dots, d_{b-1}, n) \in \text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b \times \min\mathbb{C}_{\mathbb{N}}(p_0, \dots, p_{a-1}, e'_0, \dots, e'_{b-1}, e),$$

we see that  $(c_0, \dots, c_{a-1}, \pi_2(d_0), \dots, \pi_2(d_{b-1}), n) \in (\text{IsFinite}^a \times \text{TC}_{\mathbb{N}}^b) * \mathbb{C}_{\mathbb{N}}(e, i)$ , thus proving the reduction (by  $\pi_i$  we denote the projection on the  $i$ th component, so  $\langle \pi_1(x), \pi_2(x) \rangle = x$ ).  $\square$

## C The Weihrauch complexity of cShuffle

First, we note that  $\text{cShuffle}$  is closed under finite products.

**Lemma 20.**  $\text{cShuffle} \times \text{cShuffle} \leq_{\text{W}} \text{cShuffle}$ . Hence,  $\text{cShuffle}^* \leq_{\text{W}} \text{cShuffle}$ .

*Proof idea.* Consider the pairing of the two input colourings.  $\square$

We are now ready to gauge the strength of  $\text{cShuffle}$ . We start with the easy direction.

**Lemma 8.**  $(\text{LPO}')^* \leq_{\text{W}} \text{cShuffle}$

*Proof.* Thanks to Lemma 20, it is enough to prove that  $\text{LPO}' \leq_{\text{W}} \text{cShuffle}$ .

We will prove that  $\text{IsFinite} \leq_{\text{sW}} \text{cShuffle}$ . Let  $p \in 2^{\mathbb{N}}$  be an infinite binary string, we define a colouring of the rationals as follows: we define a colouring of the dyadic numbers  $c: \mathbb{D} \rightarrow 2$ , and, using the fact that there exists a computable

order-preserving bijection  $q : \mathbb{Q} \rightarrow \mathbb{D}$ , we will then consider  $c \circ q$ , and apply  $\text{cShuffle}$  to that colouring.

We now construct  $c$  by setting  $c(d) = p(n)$  for every  $d \in \mathbb{D}$  with  $\text{rank}(d) = n$ . Apply  $\text{cShuffle}$  to  $c \circ q$ , and let  $C \in \text{cShuffle}(c \circ q)$ . Since density implies infinity, if  $1 \in C$ , then  $p$  had infinitely many occurrences of 1. On the other hand, if for infinitely many  $n$   $p(n) = 1$ , then all the dyadics of the form  $\frac{a}{2^n}$  are coloured 1 by  $c$ , which implies that the colour 1 occurs densely in every interval. Hence,  $1 \in C$  if and only if 1 appeared in  $p$  infinitely often, which proves the claim.  $\square$

Next, we move to the more difficult reduction.

**Lemma 9.** *Let  $\text{cShuffle}_n$  be the restriction of  $\text{cShuffle}$  to the instances of the form  $(n, c)$ . Then,  $\text{cShuffle}_n \leq_W (\text{LPO}')^{2^n - 1}$*

*Proof.*  $\text{cShuffle} \leq_W (\text{LPO}')^{2^n - 1}$ : we actually show that  $\text{cShuffle} \leq_W \text{IsFinite}^{2^n - 1}$ . Let  $(n, c)$  be an instance of  $\text{cShuffle}$ . The idea is that we will use one instance of  $\text{IsFinite}$  for every non-empty subset  $C$  of the set of colours  $n$ , in order to determine for which such  $C$ s there exists an interval  $I_C$  such that  $c(I_C) = C$ . We will then prove that any  $\subseteq$ -minimal such  $C$  is a solution for  $(n, c)$ .

Let  $C_i$ , for  $i < 2^n - 1$ , be an enumeration of the non-empty subsets of  $n$ . Let  $I_j$  be an enumeration of the open intervals of  $\mathbb{Q}$ , and let  $q_h$  be an enumeration of  $\mathbb{Q}$ . For every  $i < 2^n - 1$ , we build an instance  $p_i$  of  $\text{IsFinite}$  in stages in parallel. At every stage  $s$ , for every component  $i < 2^n - 1$ , there will be a ‘‘current interval’’  $I_{j_s^i}$  and a ‘‘current point’’  $q_{h_s^i}$ . We start the construction by setting the current interval to  $I_0$  and the current point to  $q_0$  for every component  $i$ .

For every component  $i$ , at stage  $s$  we do the following:

- if  $q_{h_s^i} \notin I_{j_s^i}$  or if  $c(q_{h_s^i}) \in C_i$ , we set  $I_{j_{s+1}^i} = I_{j_s^i}$  and  $q_{h_{s+1}^i} = q_{h_s+1}$ . Moreover, we set  $p_i(s) = 0$ . In practice, this means that if the colour of the current point is in  $C_i$ , or if the current point is not in the current interval, no special action is required, and we can move to consider the next point.
- If instead  $q_{h_s^i} \in I_{j_s^i}$  and  $c(q_{h_s^i}) \notin C_i$ , we set  $I_{j_{s+1}^i} = I_{j_{s+1}}$  and  $q_{h_{s+1}^i} = q_0$ . Moreover, we set  $p_i(0) = 1$ . In practice, this means that if the current point is in the current interval and its colour is not a colour of  $C_i$ , then, we need to move to consider the next interval in the list, and therefore we reset the current point to the first point in the enumeration. Moreover, we record this event by letting  $p_i(s)$  take value 1.

We iterate the construction for every  $s \in \mathbb{N}$ . After infinitely many steps, we obtain an instance  $\langle p_0, p_1, \dots, p_{2^n - 2} \rangle$  of  $\text{IsFinite}^{2^n - 1}$ . Let  $\sigma \in 2^{2^n - 1}$  be such that  $\sigma \in \text{IsFinite}^{2^n - 1}(\langle p_0, p_1, \dots, p_{2^n - 2} \rangle)$ .

To find a set of colours  $C$  for which there is a  $c$ -shuffle, we proceed as follows. We start checking  $\sigma(i)$  for  $i$  such that  $C_i$  is a singleton: if, for any such  $i$ ,  $\sigma(i) = 1$ , it means that the corresponding  $p_i$  has only finitely many 1s, which implies that the second case in the construction was triggered only finitely many times. Hence, there is a stage  $s$  such that, for every  $t > s$ ,  $I_{j_t^i} = I_{j_s^i}$ . This means that  $I_{j_s^i}$  is  $c$ -homogeneous, and thus, in particular, a  $c$ -shuffle. Hence,  $C_i$  is a valid solution.

If instead for all  $i$ s such that  $C_i$  is a singleton  $\sigma(i) = 0$ : then, we know that no interval  $I$  is  $c$ -monochromatic, otherwise we would be in the previous case. We move to consider the  $i$ s such that  $|C_i| = 2$ . Suppose that for one such  $i$ ,  $\sigma(i) = 1$ : again, this means that, for a sufficiently large stage  $s$ , the current interval  $I_{j_s^i}$  is such that, for every  $q \in I_{j_s^i}$ ,  $c(q) \in C_i$ , since the second case in the construction is triggered only finitely many times. But since we know that there are no  $c$ -monochromatic intervals, the two colours of  $C_i$  occur densely in  $I_{j_s^i}$ , which then is a  $c$ -shuffle for the colours in  $C_i$ . Hence, any  $C_i$  such that  $\sigma(i) = 1$  is a valid solution for  $c$ .

This argument can be iterated for every number of colours. Since, by the theory, a  $c$ -shuffle exists, at least one of the  $p_i$  instances above contains only finitely many 1s. To compute a solution to  $c$ , it is thus sufficient to look for the minimal  $k$  such that, for some  $i$ ,  $\sigma(i) = 1$  and  $|C_i| = k$ , and output  $C_i$ .  $\square$

Putting the two previous results together, we have the following.

**Theorem 4.**  $(\text{LPO}')^* \equiv_{\text{W}} \text{cShuffle}$

*Proof.*  $(\text{LPO}')^* \leq_{\text{W}} \text{cShuffle}$  is given directly by Lemma 8. For the other direction, notice that  $\text{cShuffle} \equiv_{\text{W}} \prod_{n \in \mathbb{N}} \text{cShuffle}_n$ . The result then follows from Lemma 9.  $\square$

## D Proof of Lemma 20

*Proof.* Let  $(n_0, f_0)$  and  $(n_1, f_1)$  be instances of  $\text{cShuffle}$ . Let us fix a computable bijection  $\langle \cdot, \cdot \rangle : n_0 \times n_1 \rightarrow n_0 n_1$  and define the colouring  $f : \mathbb{Q} \rightarrow n_0 n_1$  by  $f(x) = f_0(x) f_1(x)$  for every  $x \in \mathbb{Q}$ . Hence,  $(n_0 n_1, f)$  is a valid instance of  $\text{cShuffle}$ . Let  $C \in \text{cShuffle}(n_0 n_1, f)$ : this means that there is an interval  $I$  that is a  $f$ -shuffle for the colours of  $C$ . For  $i < 2$ , let  $C_i := \{j : \exists c \in C (j = \pi_i(j))\}$ , where  $\pi_i$  is the projection on the  $i$ th component. Then,  $C_i \in \text{cShuffle}(n_i f_i)$ , as witnessed by the interval  $I$ .  $\square$

## E Proof of Lemma 10

**Lemma 10.** *Let  $\text{iShuffle}_n$  be the restriction of  $\text{iShuffle}$  to the instances of the form  $(n, c)$ . For every  $n \in \mathbb{N}$  with  $n \geq 2$ ,  $\text{iShuffle}_n \leq_{\text{SW}} \text{TC}_{\mathbb{N}}^{n-1}$ .*

*Proof.* Fix an enumeration  $I_j$  of the intervals of  $\mathbb{Q}$ , an enumeration  $q_h$  of  $\mathbb{Q}$ , a computable bijection  $\langle \cdot, \cdot \rangle : \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ , and let  $(n, c)$  be an instance of  $\text{iShuffle}_n$ .

The idea of the reduction is the following: with the first instance  $e_{n-1}$  of  $\text{TC}_{\mathbb{N}}$ , we look for an interval  $I_j$  on which  $c$  takes only  $n - 1$  colours: if no such interval exists, then this means that every colour is dense in every interval, and so every  $I_j$  is a valid solution to  $c$ . Hence, we can suppose that such an interval is eventually found: we will then use the second instance  $e_{n-2}$  of  $\text{TC}_{\mathbb{N}}$  to look for a subinterval of  $I_j$  where  $c$  takes only  $n - 2$  values. Again, we can suppose that such an interval is found. We proceed like this for  $n - 1$  steps, so that in the end the

last instance  $e_1$  of  $\text{TC}_{\mathbb{N}}$  is used to find an interval  $I'$  inside an interval  $I$  on which we know that at most two colours appear: again, we look for  $c$ -monochromatic intervals: if we do not find any, then  $I'$  is already a  $c$ -shuffle, whereas if we do find one, then that interval is now a solution to  $c$ , since  $c$ -monochromatic intervals are trivially  $c$ -shuffles..

More formally, we proceed as follows: we define  $n-1$  instances  $e_1, \dots, e_{n-1}$  of  $\text{TC}_{\mathbb{N}}$  as follows. For every stage  $s$ , every instance  $e_i$  will have a “current interval”  $I_{j_s^i}$  and a “current point”  $q_{h_s^i}$  and a “current list of colours”  $L_{k_s^i}$ . We start the construction by the setting the current interval equal to  $I_0$ , the current point equal to  $q_0$  and the current list of points equal to  $\emptyset$  for every  $i$ .

At stage  $s$ , there are two cases:

- if, for every  $i$ ,  $q_{h_s^i} \notin I_{j_s^i}$  or  $|\{L_{k_s^i} \cup \{c(q_{h_s^i})\}\}| \leq i$ , we set  $I_{j_{s+1}^i} = I_{j_s^i}$ ,  $q_{h_{s+1}^i} = q_{h_s^i}$  and  $L_{k_{s+1}^i} = L_{k_s^i} \cup \{c(q_{h_s^i})\}$ . Moreover, we let every  $e_i$  enumerate every number of the form  $\langle s, a \rangle$ , for every  $a \in \mathbb{N}$ , except for  $\langle s, j_s^i \rangle$ . We then move to stage  $s+1$ .

In practice, this means that if the set of colours of the points of the current interval seen so far does not have cardinality larger than  $i$ , no particular action is required, and we can move to check the next point on the list.

- otherwise: let  $i'$  be maximal such that  $q_{h_s^{i'}} \in I_{j_s^{i'}}$  and  $|\{L_{k_s^{i'}} \cup \{c(q_{h_s^{i'}})\}\}| > i$ . Then, for every  $i > i'$  we proceed as in the previous case (i.e., the current interval, current point, current list of colours and enumeration are defined as above). For the other components, we proceed as follows: we first look for the minimal  $\ell > j_s^{i'}$  such that  $I_{\ell} \subseteq I_{j_s^{i'+1}}$  (if  $i' = n-1$ , just pick  $\ell = j_s^{n-1} + 1$ ). Then, for every  $i \leq i'$ , we set  $I_{j_{s+1}^i} = I_{\ell}$ ,  $q_{h_{s+1}^i} = q_0$  and  $L_{k_{s+1}^i} = \emptyset$ . Moreover, we let  $e_i$  enumerate every number of the form  $\langle t, a \rangle$  with  $t < s$  that had not been enumerated so far, and also every number of the form  $\langle s, a \rangle$ , with the exception of  $\langle s, j_s^i \rangle$ . We then move to stage  $s+1$ .

In practice, this means that if, for a certain component  $i'$ , we found that the current interval has too many colours, then, for all the components  $i \leq i'$ , we move to consider intervals strictly contained in the current interval of component  $i'$ .

We iterate the procedure for every  $s \in \mathbb{N}$ , thus obtaining the  $\text{TC}_{\mathbb{N}}^{n-1}$ -instance  $\langle e_1, \dots, e_{n-1} \rangle$ .

Let  $\sigma \in \mathbb{N}^{n-1}$  be such that  $\sigma \in \text{TC}_{\mathbb{N}}^{n-1}(\langle e_1, \dots, e_{n-1} \rangle)$ . Then, we look for the minimal  $i$  such that  $I_{\pi_2(\sigma(i))} \subseteq I_{\pi_2(\sigma(i+1))} \subseteq \dots \subseteq I_{\pi_2(\sigma(n-1))}$  (by  $\pi_i$  we denote the projection on the  $i$ th component, so  $\langle \pi_1(x), \pi_2(x) \rangle = x$ ). We claim that  $I_{\pi_2(\sigma(i))}$  is a  $c$ -shuffle, which is sufficient to conclude that  $\text{iShuffle}_n \leq_{\text{SW}} \text{TC}_{\mathbb{N}}^{n-1}$ .

We now prove the claim. First, suppose that  $e_{n-1}$  enumerates all of  $\mathbb{N}$ . Then, the second case of the construction was triggered infinitely many times with  $i' = n-1$ : hence, no interval contains just  $n-1$  colours, and so, as we said at the start of the proof, this means that every interval is a  $c$ -shuffle. In particular, this implies that  $I_{\pi_2(\sigma(i))}$  is a valid solution. Hence we can suppose that  $e_{n-1}$  does not enumerate all of  $\mathbb{N}$ .

Next, we notice that for every  $m > 1$ , if  $e_m$  enumerates all of  $\mathbb{N}$ , the so does  $e_{m-1}$ , by inspecting the second case of the construction. Let  $m$  be minimal such that  $e_m$  does not enumerate all of  $\mathbb{N}$ . For such an  $m$ , it is easy to see that  $I_{\pi_2(\sigma(m))}$  is a valid solution to  $c$ : indeed, we know from the construction that  $c$  takes  $m$  colours on  $I_{\pi_2(\sigma(m))}$ , and that for no interval contained in  $I_{\pi_2(\sigma(m))}$   $c$  takes  $m-1$  colours, which means that  $I_{\pi_2(\sigma(m))}$  is a  $c$ -shuffle. Moreover, it is easy to see that  $I_{\pi_2(\sigma(m))} \subseteq I_{\pi_2(\sigma(m+1))} \subseteq \dots \subseteq I_{\pi_2(\sigma(n-1))}$ , which implies that  $i \leq m$ . Since every subinterval of a  $c$ -shuffle is a  $c$ -shuffle,  $I_{\pi_2(\sigma(i))}$  is a valid solution to  $c$ , as we wanted.  $\square$

## F Proof of Lemma 13

*Proof idea.* The Lemma is proved exactly as Lemma 20.  $\square$

## G Proof of Lemma 15

**Lemma 15.** *Let  $\text{Shuffle}_n$  be the restriction of  $\text{Shuffle}$  to the instances of the form  $(n, c)$ . Then,  $\text{Shuffle}_n \leq_W (\text{TC}_{\mathbb{N}} \times \text{LPO}')^{2^n - 1}$*

*Proof.* Let  $(n, c)$  be an instance of  $\text{Shuffle}$ . The idea of the proof of  $\text{Shuffle}_n \leq_W (\text{TC}_{\mathbb{N}} \times \text{LPO}')^{2^n - 1}$  is, in essence, to combine the proofs of Lemma 10 and of Theorem 4: we want to use  $\text{TC}_{\mathbb{N}}$  to find a candidate interval for a certain subset  $C$  of  $n$ , and on the side we use  $\text{LPO}'$  (or equivalently,  $\text{lsFinite}$ ) to check for every such set  $C$  whether a  $c$ -shuffle for the colours of  $C$  actually exists. The main difficulty with the idea described above is that the two proofs must be intertwined, in order to be able to find both a  $c$ -shuffle and the set of colours that appears on it.

We proceed as follows: let  $C_i$  be an enumeration of the non-empty subsets of  $n$ . Moreover, let us fix some computable enumeration  $I_j$  of the intervals of  $\mathbb{Q}$ , some computable enumeration  $q_h$  of the points of  $\mathbb{Q}$ , and some computable bijection  $\langle \cdot, \cdot \rangle: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ . For every  $C_i$ , we will define an instance  $\langle p_i, e_i \rangle$  of  $\text{lsFinite} \times \text{TC}_{\mathbb{N}}$  in stages as follows: at every stage  $s$ , for every index  $i$ , there will be a “current interval”  $I_{j_s^i}$  and a “current point”  $q_{h_s^i}$ . We begin stage 0 by setting the current interval to  $I_0$  and the current point to  $q_0$  for every index  $i$ .

At stage  $s$ , for every component  $i$ , there are two cases:

- if  $q_{h_s^i} \notin I_{j_s^i}$  or if  $c(q_{h_s^i}) \in C_i$ , we set  $I_{j_{s+1}^i} = I_{j_s^i}$  and  $q_{h_{s+1}^i} = q_{h_s+1}$ . Moreover, we set  $p_i(s) = 0$  and we let  $e_i$  enumerate all the integers of the form  $\langle s, a \rangle$ , except  $\langle s, j_{s+1}^i \rangle$ . We then move to stage  $s+1$ .

In plain words, for every component  $i$ , we check if the colour of the current point is in  $C_i$ , or if the current point is not in the current interval: if this happens, no special action is required.

- If instead  $q_{h_s^i} \in I_{j_s^i}$  and  $c(q_{h_s^i}) \notin C_i$ , we set  $I_{j_{s+1}^i} = I_{j_{s+1}}$  and  $q_{h_{s+1}^i} = q_0$ . Moreover, we set  $p_i(0) = 1$ , and we let  $e_i$  enumerate all the numbers of the form  $\langle t, a \rangle$ , for  $t < s$ , that had not been enumerated a previous stage, and

also all the numbers of the form  $\langle s, a \rangle$ , with the exception of  $\langle s, j_{s+1}^i \rangle$ . We then move to stage  $s + 1$ .

In plain words: if we find that for some component  $i$  the colour of the current point is not in  $C_i$ , then, from the next stage, we start considering another interval, namely the next one in the fixed enumeration. We then reset the current point to  $q_0$  (so that all rationals are checked again), and we record the event by letting  $p_i(s) = 1$  and changing the form of the points that  $e_i$  is enumerating.

We iterate the procedure for every integer  $s$ . Let  $\sigma \in (2 \times \mathbb{N})^{2^n - 1}$  be such that

$$\sigma \in (\text{IsFinite} \times \text{TC}_{\mathbb{N}})^{2^n - 1}(\langle\langle p_1, e_1 \rangle \dots, \langle p_{2^n - 1}, e_{2^n - 1} \rangle \rangle)$$

Let  $k$  be the minimal cardinality of a subset  $C_i \subseteq n$  such that  $p_i = 1$ : notice that such a  $k$  must exist, because  $c$ -shuffles exist. Then, we claim that the corresponding  $I_{\pi_2(\sigma(i))}$  is a  $c$ -shuffle (by  $\pi_i$  we denote the projection on the  $i$ th component, so  $\langle \pi_1(x), \pi_2(x) \rangle = x$ ). If we do this, it immediately follows that  $\text{Shuffle} \leq_{\text{W}} ((\text{LPO}') \times \text{TC}_{\mathbb{N}})^{2^n - 1}$ .

Hence, all that is left to be done is to prove the claim. By the fact that  $\text{IsFinite}(p_i) = 1$ , we know that the second case of the construction is triggered only finitely many times. Hence,  $e_i$  does not enumerate all of  $\mathbb{N}$ , and so  $I_{\pi_2(\sigma(i))}$  is an interval containing only colours from  $C_i$ . Moreover, by the minimality of  $|C_i|$ , we know that no subinterval of  $I_{\pi_2(\sigma(i))}$  contains fewer colours, which proves that  $I_{\pi_2(\sigma(i))}$  is a  $c$ -shuffle.  $\square$

## H Weihrauch analysis of ordered Ramsey over $\mathbb{Q}$

This appendix is dedicated to proving Theorem 8.

**Theorem 8.** *Let  $\text{ORT}_{\mathbb{Q}}$  be the problem whose instances are ordered colourings  $c : [\mathbb{Q}]^2 \rightarrow P$ , for some finite poset  $(P, \prec)$ , and whose outputs on input  $c$  are the intervals on which  $c$  is constant and the colour, and  $\text{sORT}_{\mathbb{Q}}$  the version where we only get the interval. We have*

$$\text{ORT}_{\mathbb{Q}} \equiv_{\text{W}} \text{LPO}^* \quad \text{and} \quad \text{sORT}_{\mathbb{Q}} \equiv_{\text{W}} \text{C}_{\mathbb{N}}$$

We start by proving the first equivalence.

**Lemma 21.** *We have  $\text{ORT}_{\mathbb{Q}} \equiv_{\text{W}} \text{LPO}^*$ .*

*Proof.*  $\text{LPO}^* \leq_{\text{sW}} \text{ORT}_{\mathbb{Q}}$ : let  $\langle n, p_0, \dots, p_{n-1} \rangle$  be an instance of  $\text{LPO}^*$ . Let  $(P, \prec)$  be the poset such that  $P = 2^n$ , the set of subsets of  $n$ , and  $\prec = \supseteq$ , i.e.  $\prec$  is reverse inclusion.

We define an ordered colouring  $c : [\mathbb{Q}]^2 \rightarrow P$  in stages by deciding, at stage  $s$ , the colour of all the pairs of points  $(x, y) \in [\mathbb{Q}]^2$  such that  $|x - y| > 2^{-s}$ .

At stage 0, we set  $c(x, y) = \emptyset$  for every  $(x, y) \in [\mathbb{Q}]^2$  such that  $|x - y| > 1$ . At stage  $s > 0$ , we check  $p_i \upharpoonright_s$  for every  $i < n$  (i.e., for every  $i$ , we check the sequence

$p_i$  up to  $p_i(s-1)$ ), and for every  $(x, y) \in [\mathbb{Q}]^2$  with  $2^{-s+1} \geq |x-y| > 2^{-s}$ , we let

$$c(x, y) = \{i < n : \exists t < s(p_i(t) = 1)\}.$$

It is easily seen that  $c$  defined as above is an ordered colouring: if  $x \leq x' < y' \leq y$ , then  $|x' - y'| \leq |x - y|$ , which means that to determine the colour of  $(x', y')$  we need to examine a longer initial segment of the  $p_i$ s. Let  $I \in \text{ORT}_{\mathbb{Q}}(P, c)$ , and let  $\ell \in \mathbb{N}$  be maximal such that the length of  $I$  is less than  $2^{-\ell}$ : since  $I$  is  $c$ -homogeneous, we know that for every  $i < n$ ,  $\exists t(p_i(t) = 1) \Leftrightarrow \exists t < \ell(p_i(t) = 1)$ . Hence, for every pair of points  $(x, y) \in [I]^2$ , the colour of  $c(x, y)$  is exactly the set of  $i$  such that  $\text{LPO}(p_i) = 1$ .

$\text{ORT}_{\mathbb{Q}} \leq_{\text{W}} \text{LPO}^*$ : Let  $(P, c)$  be an instance of  $\text{ORT}_{\mathbb{Q}}$ , for some finite poset  $(P, <_P)$ . Let  $<_L$  be a linear extension of  $<_P$ , and notice that  $c : \mathbb{Q} \rightarrow (P, <_L)$  is still an ordered colouring. Let  $r_0 <_L r_1 <_L \dots <_L r_{|P|-1}$  be the elements of  $P$ . The idea of the proof is to have one instance of  $\text{LPO}$  per element of  $P$ , and to check in parallel the intervals of the rationals to see if they are  $c$ -homogeneous for the corresponding element of  $P$ . Anyway, one has to be careful as to how these intervals are chosen: to give an example, if we find that a certain interval  $I$  is not  $c$ -homogeneous for the  $<_L$ -maximal element  $r_{|P|-1}$ , because we found, say,  $x < y$  such that  $c(x, y) \neq r_{|P|-1}$ , then not only do we flag the corresponding instance on  $\text{LPO}$  by letting it contain a 1, but we also restrict the research of all the other components so that they only look at intervals *contained in*  $]x, y[$ . By proceeding similarly for all the components, since  $c$  is ordered, we are sure that we will eventually find a  $c$ -homogeneous interval.

We define the  $|P|$  instances  $p_0, p_1, \dots, p_{|P|-1}$  of  $\text{LPO}$  in stages as follows. Let  $a_n$  be an enumeration of the ordered pairs of rationals, i.e. an enumeration of  $[\mathbb{Q}]^2$ , with infinitely many repetitions. At every stage  $s$ , some components  $i$  will be “active”, whereas the remaining components will be “inactive”: if a component  $i$  is inactive, it can never again become active. Moreover, for every active component  $i < |P|$ , at every stage  $s$ , there is a “current pair”  $a_{n_s^i}$  and a “current interval”  $a_{m_s^i}$  (for this proof, it is practical to see ordered pairs of rational as both pairs and as denoting extrema of an open interval). We begin stage 0, by putting the current pair and the current interval equal to  $a_0$  for every component  $i < |P|$ . Moreover, every component is set to be active.

At stage  $s$ , for every inactive component  $j < |P|$ , we set  $p_j(s) = 1$ . For every active component  $i$ , there are two cases:

- if, for every active component  $i$ ,  $c(a_{n_s^i}) \geq_L r_i$ , then for every active  $i$  we look for the smallest  $\ell^i > n_s^i$  such that  $a_{\ell^i} \subseteq a_{m_s^i}$  (i.e., we look for a pair of points contained in the current interval for component  $i$ ), and set  $a_{n_{s+1}^i} = a_{\ell^i}$ , and  $a_{m_{s+1}^i} = a_{m_s^i}$ . We set  $p_i(s) = 0$  and no component is set to be inactive. We then move to stage  $s+1$ .
- suppose instead there is an active component  $i$  such that  $c(a_{n_s^i}) <_L r_i$ : let  $i$  be the minimal such  $i$ , then we set every  $j \geq i$  to be inactive (the ones that were already inactive remain so) and we let  $p_j(s) = 1$ . For every active component  $k$ , we let  $a_{m_{s+1}^k} = a_{n_s^i}$ , and we look for the least  $\ell^k > n_s^i$  such that  $a_{\ell^k} \subset a_{n_s^i}$ : we set  $a_{n_{s+1}^k} = a_{\ell^k}$  and set  $p_k(s) = 0$ . We then move to stage  $s+1$ .

We iterate the procedure above for every integer  $s$ .

Let  $\sigma \in 2^{|P|}$  be such that  $\sigma \in \text{LPO}^*(\langle |P|, p_0, \dots, p_{|P|-1} \rangle)$ . Notice that  $\sigma(0) = 0$ , since no pair of points can attain colour  $<_L$ -below  $r_0$ . Moreover, notice that  $\sigma(i) = 0$  if and only if the component  $i$  was never set inactive. Hence, let  $i$  be maximal such that  $\sigma(i) = 0$ , and let  $t$  be a state such all components  $j > i$  have been set inactive by step  $t$ . Hence, after step  $t$ , the current interval  $I$  of component  $i$  never changes, and thus we eventually check the colour of all the pairs in that interval. Since the second case of the construction is never triggered, it follows that  $I$  is a  $c$ -homogeneous interval. Hence, in order to find it, we know we just have to repeat the construction above until all the components of index larger than  $i$  are set inactive. This proves that  $\text{ORT}_{\mathbb{Q}} \leq_W \text{LPO}^*$ .  $\square$

We now move to the second equivalence stated in the Theorem.

**Lemma 22.**  $\text{sORT}_{\mathbb{Q}} \equiv_W \text{C}_{\mathbb{N}}$ .

*Proof.*  $\text{C}_{\mathbb{N}} \leq_W \text{sORT}_{\mathbb{Q}}$ : let  $e$  be an enumeration of a strict subset of  $\mathbb{N}$ . We will define a colouring  $c: [\mathbb{Q}]^2 \rightarrow (P, <)$ , where the finite set  $P \subset \mathbb{N}$  will be determined during the construction and  $<$  is just  $\geq$ .

We construct  $c$  in stages by deciding, at stage  $s$ , the colour of all the pairs of points  $p, q$  such that  $|p - q| > 2^{-s}$ . At stage 0, set  $c(p, q) = 0$  for every  $p, q \in \mathbb{Q}$  such that  $|p - q| > 1$ . At every stage  $s > 0$ , let  $n_s$  be the minimal integer not enumerated by  $e$  at stage  $s$ . Assign the colour  $n_s$  to all the pairs  $p, q$  such that  $2^{-s+1} \geq |p - q| > 2^{-s}$ .

For a sufficiently large  $s$ ,  $n_s$  will stabilize, hence the  $\text{ran}(c)$  is finite. Moreover, it is easy to see that the colouring defined above is infix-ordered. Let  $I \in \text{sORT}_{\mathbb{Q}}(c)$  and let  $p, q \in I$ : then,  $c(p, q) \in \text{C}_{\mathbb{N}}(e)$ , as one immediately verifies.

$\text{sORT}_{\mathbb{Q}} \leq_W \text{C}_{\mathbb{N}}$ : let us fix an enumeration  $I_n$  of the open intervals  $]u, v[$  of  $\mathbb{Q}$ , an enumeration  $p_m$  of  $[\mathbb{Q}]^2$ , and a computable bijection  $\langle \cdot, \cdot \rangle: \mathbb{N} \times \mathbb{N} \rightarrow \mathbb{N}$ . Finally, let  $c$  be an instance of  $\text{sORT}_{\mathbb{Q}}$ .

We define an enumeration  $e$  of an open subset of  $\mathbb{N}$  in stages as follows: at every stage  $s$ , there will be a "current interval"  $I_{n_s}$  and a "current pair"  $p_{m_0}$ . We start stage 0 by setting the current interval to  $I_0$  and the current pair to  $p_0$ .

At stage  $s$ , for the current interval  $I_{n_s}$ , let  $q_{n_s}$  be the minimal (according to our enumeration) pair in  $[\mathbb{Q}]^2$  such that both points of the pair belong to  $I_{n_s}$ . Then, there are two cases:

- if at least one of the points  $p_{m_s}$  is not in  $I_{n_s}$  or  $c(p_{m_s}) = c(q_{n_s})$ , we set  $I_{n_{s+1}} = I_{n_s}$  and  $p_{m_{s+1}} = p_{m_s+1}$ , and we let  $e$  enumerate all numbers of the form  $\langle s, a \rangle$  for some integer  $a$ , except  $\langle s, n_s \rangle$ .
- if instead both the points of  $p_{m_s}$  are in  $I_{n_s}$  and  $c(p_{m_s}) \neq c(q_{n_s})$ , then we set  $I_{n_{s+1}} = I_{n_s+1}$  and  $p_{m_{s+1}} = p_0$ . Moreover, we let  $e$  enumerate all numbers of the form  $\langle s, a \rangle$  for some integer  $a$ , except  $\langle s, n_s + 1 \rangle$ , and also all the points of the form  $\langle t, n_s \rangle$  that had not been already enumerated before.

We iterate the procedure above for every integer  $s$ .

By the fact that there exists an interval  $I$  on which  $c$  is constant, eventually the current interval  $I_k$  in the construction above will be either  $I$  or an interval

contained in  $I$ . Either way, at that point the current interval stops changing, since the second case in the construction above is never triggered. Hence, the only points not enumerated by  $e$  are of the form  $\langle s, k \rangle$ , for  $s$  large enough. Hence, for every  $\ell \in C_{\mathbb{N}}(e)$ , the interval whose code is given by  $\pi_2(\ell)$  is a valid solution for  $c$ .

As a remark, we notice that in the proof above we have only used the fact, given by the theory, that there are intervals on which  $c$  is constant: the poset  $P$  did not play any specific role.  $\square$

## I Proof of Theorem 9

**Theorem 9.** –  $\text{cART}_{\mathbb{Q}} \leq_{\text{W}} (\text{LPO}')^* \times \text{LPO}^*$ , therefore  $\text{cART}_{\mathbb{Q}} \equiv_{\text{W}} (\text{LPO}')^*$ .  
 –  $\text{iART}_{\mathbb{Q}} \leq_{\text{W}} \text{TC}_{\mathbb{N}}^* \times \text{LPO}^*$ , therefore  $\text{iART}_{\mathbb{Q}} \equiv_{\text{W}} \text{TC}_{\mathbb{N}}^*$ .  
 –  $\text{ART}_{\mathbb{Q}} \leq_{\text{W}} (\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^* \times \text{LPO}^*$ , therefore  $\text{ART}_{\mathbb{Q}} \equiv_{\text{W}} (\text{LPO}')^* \times \text{TC}_{\mathbb{N}}^*$ .

*Proof.* The three results are all proved in a similar manner. Before starting the proof, we recall that  $\text{LPO}^* \leq_{\text{W}} C_{\mathbb{N}}$ : this is a known fact, and in any case it can be read off from the fact that, clearly,  $\text{ORT}_{\mathbb{Q}} \leq_{\text{W}} \text{sORT}_{\mathbb{Q}}$ , and by Theorem 8  $\text{ORT}_{\mathbb{Q}} \equiv_{\text{W}} \text{LPO}^*$  and  $\text{sORT}_{\mathbb{Q}} \equiv_{\text{W}} C_{\mathbb{N}}$ . In particular, this enables us to use Lemma 4 with  $\text{LPO}^*$  in place of  $P$ .

For  $x \in \{c, i, s\}$  and every  $n \in \mathbb{N}$ , let  $x\text{ART}_{\mathbb{Q},n}$  be the restriction of  $x\text{ART}_{\mathbb{Q}}$  to instances of the form  $(S, c)$  with  $S$  of cardinality  $n$ . Hence, by the considerations preceding the statement of the theorem in the body of the paper, we have the following facts:

- $\text{cART}_{\mathbb{Q},n} \leq_{\text{W}} \text{cShuffle}_{n^2} * \text{ORT}_{\mathbb{Q}}$ , hence, by Lemma 9 and Lemma 21,  $\text{cART}_{\mathbb{Q},n} \leq_{\text{W}} (\text{LPO}')^{2^{n^2}-1} * \text{LPO}^*$ . By Lemma 4, we have that  $\text{cART}_{\mathbb{Q},n} \leq_{\text{W}} (\text{LPO}')^{2^{n^2}-1} \times \text{LPO}^*$ , from which the claim follows.
- $\text{iART}_{\mathbb{Q},n} \leq_{\text{W}} \text{iShuffle}_{n^2} * \text{ORT}_{\mathbb{Q}}$ , hence, by Lemma 10 and Lemma 21,  $\text{iART}_{\mathbb{Q},n} \leq_{\text{W}} \text{TC}_{\mathbb{N}}^{n^2-1} * \text{LPO}^*$ . By Lemma 4, we have that  $\text{iART}_{\mathbb{Q},n} \leq_{\text{W}} \text{TC}_{\mathbb{N}}^{n^2-1} \times \text{LPO}^*$ , from which the claim follows.
- $\text{ART}_{\mathbb{Q},n} \leq_{\text{W}} \text{Shuffle}_{n^2} * \text{ORT}_{\mathbb{Q}}$ , hence, by Lemma 15 and Lemma 4, we have that  $\text{ART}_{\mathbb{Q},n} \leq_{\text{W}} (\text{LPO}' \times \text{TC}_{\mathbb{N}})^{2^{n^2}-1} * \text{LPO}^*$ . By Lemma 4, we have that  $\text{ART}_{\mathbb{Q},n} \leq_{\text{W}} (\text{LPO}' \times \text{TC}_{\mathbb{N}})^{2^{n^2}-1} \times \text{LPO}^*$ , from which the claim follows.